

Atty. Docket No.:

18747/2042

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Savakis

Serial No.:

10/634,314

Filed:

August 5, 2003

Entitled:

Insertional Mutagenesis Technique

Examiner:

Not Yet Assigned

Group Art Unit:

1642

Conf. No.:

7882

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8a**

I hereby certify that this correspondence (and any paper or fee referred to as being enclosed) is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Elizabeth N. Spar

Name of Person Mailing Paper

Signature of Person Mailing Paper

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# TRANSMITTAL LETTER

Enclosed for filing in the above-identified patent application, please find the following documents:

- 1. Information Disclosure Statement:
- 2. Form 1449;
- 3. Copies of cited references, numbers 5 23; and
- 4. Return Post Card.

The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 18747/2042. A duplicate of this transmittal letter is enclosed for this purpose.

Date: 12|16|03

Respectfully submitted,

Eazehan S Keg# 45, 123 fr
Name: Kathleen M. Williams

Registration No.: 34,380 Customer No.: 29933 Palmer & Dodge LLP 111 Huntington Avenue Boston, MA 02199-7613

Tel: 617-239-0100



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# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§§ 1.56, 1.97 AND 1.98

Dear Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56, Applicant submits this Information Disclosure Statement pursuant to 37 CFR §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office.

A listing of the cited documents, as well as, for the Examiner's convenience, copies of all foreign patent documents and non-patent literature are enclosed. This application was filed after June 30, 2003, and copies of U.S. patents and U.S. patent applications are not required and are not enclosed.

Pursuant to CFR § 1.97(b)(3), because this Statement is being submitted before the first Office Action on the merits, no fee is required.

Applicant does not intend to represent that any of the documents submitted herein are material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicant respectfully requests that the documents submitted herein be considered and made of record in this application.

Date: 12/16/03

Respectfully submitted,

Name: Kathleen M. Williams

Registration No.: 34,380 Customer No.: 29933 Palmer & Dodge LLP 111 Huntington Avenue Boston, MA 02199-7613

Tel: 617-239-0100

Patent and Trade		S. Department of Commerce		Attorney Docket No. 18747/2042		Serial No. 10/634,314				
INFORM	ATION	DISCLOSURE STAT	EMENT							
			Applicant(s):. Savakis							
			Filing Date: August 5, 2003			Group: 1642				
U.S. PAT	ENT DO	OCUMENTS			<u> </u>					
Examiner Initial		Patent No.	Date ·	Name	Class	Subclass	Filing (if appro			
	1.	US6,140,129	Oct. 31, 2000	Cox, et al.						
	2.	US6,225,121	May 1, 2001	Franz, et al.						
	3.	US5,840,865	Nov. 24, 1998	Savakis, et al.						
	4.	US5,614,398	Mar. 25, 1997	O'Brochta, et al.	ļ					
FOREIGN	V PATE	NT DOCUMENTS								
Examiner		Document No.	Publication	Country	Class	Subclass	Translation			
Initial			Date				YES	NO		
	5.	WO00/73510								
	6.	WO98/12339								
	7.	EP0955364								
	8.	WO99/09817								
	9.	WO99/07871								
OTHER I	OCUM	ENTS (including Autl	hor, Title, Date, Perti	nent Pages, etc.)						
	10.	Abad, et al., (1991), J. Mol. Evol. 33:251-258								
	11.	Bigot, et al., (1994) PNAS (US) 91:3408-3412								
	12.	Franz & Savakis, (1991) NAR 19:6646								
	13.	Garcia-Fernandez, et al., (1993), Nature 364:109								
	14.	Goodier & Davidson, (1994), J. Mol. Biol. 241:26-34								
	15.	Handler, et al., (1998), PNAS (US) 95:7520-7525								
	16.	Henikoff, (1992), New Biologist 4:382-388								
	17.	Klinakis, et al. (2000), EMBO Reports, vol. 1(5):416-421								
	18.	Klinakis, et al. (2000		· · · · · · · · · · · · · · · · · · ·						



	USPTO Form 1449 U.S. Department of Commerce Patent and Trademark Office			Attorney Docket No.		Serial No.		
	INFORM	ATION	DISCLOSURE STATEMENT	18747/2042		10/634,314		
				Applicant(s):. Savakis				
				Filing Date: August 5, 2003		Group: 1642		
		<ol> <li>Loukeris, et al., PNAS, vol. 92(Oct. 1995):9485-9489</li> <li>Sedensky, et al., (1994), Nucleic Acids Res. 22:1719-1723</li> </ol>						
21. Spradling, et al., (1999) Genetics 153:135-177  22. Wilson, et al., (1989) Genes Dev. 3:1301-1313								
								23. International Search Report PCT/GB02/00484
	EXAMIN				DATE CONSIDERE	ONSIDERED		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. \*\*Copies of references not provided at the time of this submission.